## Paper eCAF – To obtain regulatory and departmental approvals

| PI    | Name | Department | Activity<br>Code | Academic<br>Year<br>Pct Time<br>Effort (%) | Academic Title | Project<br>Percent<br>Credit (%) |
|-------|------|------------|------------------|--|----------------|----------------------------------|
| PI    |      |            |                  |  |                |                                  |
| Co-PI |      |            |                  |  |                |                                  |
|       |      |            |                  |  |                |                                  |
|       |      |            |                  |  |                |                                  |
|       |      |            |                  |  |                |                                  |

| Project Information       |                                 |  |  |  |  |
|---------------------------|---------------------------------|--|--|--|--|
| Project Title             |                                 |  |  |  |  |
| Sponsor Due Date          | Sponsor Delivery<br>Requirement |  |  |  |  |
| Sponsor                   |                                 |  |  |  |  |
| Sponsor Program Name/Type |                                 |  |  |  |  |
| Proposal Type             | New                             |  |  |  |  |
| Proposal Submission Type  |                                 |  |  |  |  |
| Proposal Nature           |                                 |  |  |  |  |
| Type of Project           |                                 |  |  |  |  |
| Project Period            | to                              |  |  |  |  |
| Site of Majority Work     | □ On campus □ Off campus        |  |  |  |  |

| F&A Cost                    |  |  |  |                |              |  |
|-----------------------------|--|--|--|----------------|--------------|--|
| F&A Cost Rate F&A Cost Base |  |  |  |                |              |  |
| Budget                      |  |  |  |                |              |  |
|                             |  |  |  | Initial Period | Total Period |  |
| Direct Cost (\$)            |  |  |  |                |              |  |
| F&A Cost (\$)               |  |  |  |                |              |  |
| Total All Cost (\$)         |  |  |  |                |              |  |

| Biohazards/rDNA/ (IBC) YES NO Pending   Approved Approved   Stem Cell Research Oversight (SCRO)  YES NO   Intellectual Property Pending   Is this project likely to result in Intellectual Property? YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Is travel outside Research YES   Is travel outside of the U.S. required in order to complete this project?   Scientific conferences are exempted.   Will any equipment, materials or software be exported to other countries?   Are foreign subrecipients/subcontractors or foreign collaborators involved?   Environmental Health and Safety   Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be   | Research Integrity Committee Approvals  |          |  |                |                      |       |           |
|--|---|----------|--|----------------|----------------------|-------|-----------|
| Vertebrate Animals       Imm/dd/yyyy)         Vertebrate Animals       Imm/dd/yyyy)         Vertebrate Animals       Imm/dd/yyyy)         Human Subjects Research/Tissues/Stem<br>(IRB)       Imm/dd/yyyy)         Human Subjects Research/Tissues/Stem<br>(IRB)       Imm/dd/yyyy)         Biohazards/rDNA/ (IBC)       Imm/dd/yyyy)         Biohazards/rDNA/ (IBC)       Imm/dd/yyyy)         Stem Cell Research Oversight (SCRO)       Imm/dd/yyyy         Intellectual Property       Imm/dd/yyyy         Is this project likely to result in Intellectual Property?       Imm/dd/yyyy         Are Any of the Following Involved?       Imm/dd/yyyy         Drug Abuse Research       Imm/dd/yyyy         Investigational New Drugs       Imm/dd/yyyy         Statainability Research       Imm/dd/yyyy         Is travel outside of the U.S. required in order to complete this project?       YES Immovie         Scientific conferences are exempted.       Imm/dd/yyyy         Will any equipment, materials or software be exported to other countries?       YES Immovie         Are foreign subrecipients/subcontractors or foreign collaborators involved?       YES Immovie         Environmental Health and Safety       Immovie       Immovie         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be       Immovie |   | Required |  |                | Protocol Information |       |           |
| Verebrate Animals I YES I NO Approved   Human Subjects Research/Tissues/Stem I YES I NO Pending<br>Approved Approved   Biohazards/rDNA/ (IBC) I YES I NO Pending<br>Approved I I   Stem Cell Research Oversight (SCRO) I YES I NO Pending<br>Approved I I   Intellectual Property I YES I NO Pending<br>Approved I I   Intellectual Property I YES I NO Pending<br>Approved I I   Intellectual Property I YES I NO Pending<br>Approved I I   Intellectual Property I YES I NO Pending<br>  | Committees  |          |  | Current Status | Protocol #           |       |           |
| (IRB) YES NO Approved   Biohazards/rDNA/ (IBC) YES NO Pending   Stem Cell Research Oversight (SCRO) YES NO Pending   YES NO Pending YES   Approved YES NO   Intellectual Property Is this project likely to result in Intellectual Property?    Is this project likely to result in Intellectual Property? YES   Intellectual Property YES   Investigational New Drugs   Sustainability Research YES   Investigational New Drugs YES   Sustainability Research YES   Is travel outside of the U.S. required in order to complete this project?   Scientific conferences are exempted.   Will any equipment, materials or software be exported to other countries?   YES   Invironmental Health and Safety   Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be   Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be  | Vertebrate Animals  |          |  | -              |                      |       |           |
| Bionazards/rDNA/ (IBC) I YES INO Approved   Stem Cell Research Oversight (SCRO) YES NO Pending<br>Approved   Intellectual Property YES NO   Is this project likely to result in Intellectual Property? YES NO   Are Any of the Following Involved? YES NO   Drug Abuse Research YES NO   Investigational New Drugs YES NO   Sustainability Research YES NO   Is travel outside of the U.S. required in order to complete this project? YES NO   Scientific conferences are exempted. YES NO   Will any equipment, materials or software be exported to other countries? YES NO   Are foreign subrecipients/subcontractors or foreign collaborators involved? YES NO   Environmental Health and Safety YES NO   Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project? YES NO  | Human Subjects Research/Tissues/Stem<br>(IRB)   |          |  | -              |                      |       |           |
| Stein Cell Research Oversight (SCRO) YES NO Approved   Intellectual Property Is this project likely to result in Intellectual Property?   Is this project likely to result in Intellectual Property? YES NO   Are Any of the Following Involved?   Drug Abuse Research YES NO   Investigational New Drugs YES NO   Sustainability Research YES NO   Export Controls YES NO   Will any equipment, materials or software be exported to other countries? YES NO   Are foreign subrecipients/subcontractors or foreign collaborators involved? YES NO   Environmental Health and Safety YES NO  | Biohazards/rDNA/ (IBC)  |          |  | -              |                      |       |           |
| Is this project likely to result in Intellectual Property?  Is this project likely to result in Intellectual Property?  Are Any of the Following Involved?  Drug Abuse Research  YES NO Investigational New Drugs  YES NO Sustainability Research  YES NO Export Controls  Is travel outside of the U.S. required in order to complete this project? Scientific conferences are exempted.  YES NO Are foreign subrecipients/subcontractors or foreign collaborators involved?  Furironmental Health and Safety  Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be YES NO   | Stem Cell Research Oversight (SCRO)   |          |  | -              |                      |       |           |
| Are Any of the Following Involved?         Drug Abuse Research       YES         Investigational New Drugs       YES         Sustainability Research       YES         Export Controls       YES         Is travel outside of the U.S. required in order to complete this project?       YES         Scientific conferences are exempted.       YES         Will any equipment, materials or software be exported to other countries?       YES         Are foreign subrecipients/subcontractors or foreign collaborators involved?       YES         Environmental Health and Safety       Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       YES  | Intellectual Property   |          |  |                |                      |       |           |
| Drug Abuse Research       I       YES       NO         Investigational New Drugs       YES       NO         Sustainability Research       YES       NO         Export Controls       YES       NO         Is travel outside of the U.S. required in order to complete this project?       YES       NO         Scientific conferences are exempted.       YES       NO         Will any equipment, materials or software be exported to other countries?       YES       NO         Are foreign subrecipients/subcontractors or foreign collaborators involved?       YES       NO         Environmental Health and Safety       YES       NO         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       YES       NO   | Is this project likely to result in Intellectual Pro  | perty?   |  |                |                      | □ YES |           |
| Investigational New Drugs       YES       NO         Sustainability Research       YES       NO         Export Controls       YES       NO         Is travel outside of the U.S. required in order to complete this project?       YES       NO         Scientific conferences are exempted.       YES       NO         Will any equipment, materials or software be exported to other countries?       YES       NO         Are foreign subrecipients/subcontractors or foreign collaborators involved?       YES       NO         Environmental Health and Safety       YES       NO         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       YES       NO  | Are Any of the Following Involved?  |          |  |                |                      |       |           |
| Sustainability Research       Image: YES image: NO         Export Controls       Image: Scientific conferences are exempted.       Image: Scientific conferences are exempted.         Is travel outside of the U.S. required in order to complete this project?       Image: YES image: NO         Scientific conferences are exempted.       Image: YES image: NO         Will any equipment, materials or software be exported to other countries?       Image: YES image: NO         Are foreign subrecipients/subcontractors or foreign collaborators involved?       Image: YES image: NO         Environmental Health and Safety       Image: YES image: NO         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       Image: YES image: NO  | Drug Abuse Research   |          |  |                |                      |       |           |
| Export Controls         Is travel outside of the U.S. required in order to complete this project?         Scientific conferences are exempted.         Will any equipment, materials or software be exported to other countries?         Are foreign subrecipients/subcontractors or foreign collaborators involved?         Environmental Health and Safety         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?   | Investigational New Drugs   |          |  |                |                      |       |           |
| Is travel outside of the U.S. required in order to complete this project? Scientific conferences are exempted. Will any equipment, materials or software be exported to other countries? Are foreign subrecipients/subcontractors or foreign collaborators involved? Environmental Health and Safety Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?   | Sustainability Research   |          |  |                |                      | □ YES | $\Box$ NO |
| Scientific conferences are exempted.       Image: YES       Image: NO         Will any equipment, materials or software be exported to other countries?       Image: YES       Image: NO         Are foreign subrecipients/subcontractors or foreign collaborators involved?       Image: YES       Image: NO         Environmental Health and Safety       Image: YES       Image: NO         Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       Image: YES       Image: NO  | Export Controls   |          |  |                |                      |       |           |
| Are foreign subrecipients/subcontractors or foreign collaborators involved?       □ YES □ NO         Environmental Health and Safety       Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?       □ YES □ NO  |   |          |  |                |                      |       |           |
| Environmental Health and Safety<br>Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be<br>used on this project?  |   |          |  |                |                      | □ YES |           |
| Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project?  | Are foreign subrecipients/subcontractors or foreign collaborators involved?   |          |  |                |                      | □ YES |           |
| used on this project?  | Environmental Health and Safety   |          |  |                |                      |       |           |
| Disclosure of Financial Interest and PI Certifications and Assurances  | Will any toxic or carcinogenic chemical, lasers/x-rays/high power RF, other biological hazards be used on this project? $\hfill \Box$ |          |  |                |                      | □ YES |           |
|  | Disclosure of Financial Interest and PI Certifications and Assurances   |          |  |                |                      |       |           |

(Please see the <u>Promoting Research Objectivity (PRO) Committee</u> webpage for the three different levels of reporting and their reporting requirements. Complete the following Disclosures, as applicable.)

## <u>State Disclosure of Financial Interest</u> Principal Investigator's Responsibility to Disclose Financial and Management Interests in Non-Governmental Sponsors of Research

As the UCR Principal Investigator/Project Director for this proposal, I have read and I understand the <u>University of California</u> <u>Guidelines for Disclosure and Review of Financial Interest in Private Sponsors of Research</u>. To review the current thresholds for which financial interests must be disclosed, please refer to Form 700-U.

Certified

Do you, your spouse or registered domestic partner or dependent children have a financial or management interest in the Sponsor to which this proposal will be submitted?

C <sub>Yes</sub> ⊙ <sub>No</sub>

Please complete, print and sign <u>Form 700-U</u>. After signing the Form 700-U, please have the original form (with signature) scanned and attached to this eCAF. Because the Office of Research is the office of record for the Form 700-U, please forward the original form to the Contract and Grant Officer assigned to your unit.

## Federal Disclosure of Financial Interest

## Principal Investigator's Responsibility to Disclose Financial and Management Interests - Public Health Service and National Science Foundation Requirements

As the UCR Principal Investigator/Project Director for this proposal, I have read and I understand the University of California Policy on Disclosure of Financial Interests and Management of Conflicts of Interest Related to Sponsored Projects.

Certified

Do you, your spouse or dependent children have a significant financial interest that is related to this sponsored project?

O

| Yes | C | No |
|-----|---|----|
|     |   |    |

As the PI named below, I agree to carry out the above-named project and to ensure all sponsor requirements are met, including submitting all required reports in accordance with the sponsors required due dates.

PI Name:

PI Title:

Pls Department:

•

Signature

Date

I support and approve this request.

Department Chair Name

Signature

Date