**UC Riverside Conflict of Interest Disclosure Chart**

- Disclosing individuals must disclose their financial interests received within the 12 months prior to disclosure submission including the financial interests of his/her spouse/registered domestic partner, and/or dependent child(ren). All positive disclosures must be approved prior to acceptance of the award or additional funding, to expenditure of funds, and/or to IRB review and approval of the protocol.

<table>
<thead>
<tr>
<th>Reporting Triggers</th>
<th>STATE LAW</th>
<th>NATIONAL SCIENCE FOUNDATION</th>
<th>PUBLIC HEALTH SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research contracts, grants, or service agreements from a non-governmental entity</td>
<td>NSF research contract/grant</td>
<td>PHS research contract/grant</td>
<td></td>
</tr>
<tr>
<td>Research Gifts earmarked for a specific individual or a specific research project</td>
<td>Non-governmental entity which flows down NSF funds</td>
<td>Non-governmental entity which flows down PHS funds</td>
<td></td>
</tr>
<tr>
<td>Material Transfer Agreement</td>
<td>UC Discovery Grant</td>
<td>Research Funding from organizations that follow PHS disclosure requirements (i.e., American Cancer Society, American Heart Association).</td>
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<tr>
<td></td>
<td>California Institute for Regenerative Medicine (CIRM) Grant</td>
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<tr>
<td></td>
<td>UC Special Research Programs (HIV/AIDS, breast cancer and tobacco related disease)</td>
<td></td>
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<tr>
<td></td>
<td>Note: Exclude Phase 1 SBIR or STTR grants</td>
<td></td>
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</tr>
</tbody>
</table>

**Who**

- UC Principal Investigator and co-Principal Investigator, if applicable
- UCR Investigator(s) (individuals responsible for the design, conduct, or reporting of the funded research project)
- UCR Investigator(s) (individuals responsible for the design, conduct, or reporting of the funded research project)

**What to Disclose**

- A financial interest in the non-governmental sponsor of the research including:
  - Income ≥ $500
  - Investments ≥ $2,000
  - Director, Officer, Employee, Partner, Trustee, Consultant or Management position
  - Loan Balances ≥ $500
  - Personal Gifts Valued at ≥ $50
  - Travel Reimbursements

- A significant financial interest related to the research project including:
  - Income > $10,000 (excluding income from seminars, lectures, teaching engagements, or service on advisory committees or review panels for public or nonprofit entities)
  - Equity interest > $10,000 or 5%
  - Intellectual Property interest in a patent, patent application, or copyright of a software (excluding intellectual property owned by the UC.)

- A significant financial interest related to the investigator’s institutional responsibilities including:
  - If publicly traded entity, total value of compensation and equity interest ≥ $5,000
  - If non-publicly traded entity, total value of compensation > $5,000 or any equity interest
  - Income received related to intellectual property > $5,000 (excluding intellectual property owned by the UC.)
  - Any reimbursed or sponsored travel (excluding (1) travel that is reimbursed or sponsored by a Federal, state, local government agency, an Institution of higher education as defined by 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute affiliated with an Institution of higher education; and (2) sponsored or reimbursed travel for the Investigator’s spouse/registered domestic partner and/or dependent children.)

**When to Disclose**

- At the proposal stage
- With renewal award (additional funding intended to extend or results in the extension of a project beyond the originally approved project period)
- When a research gift is earmarked for a specific individual or a specific research project
- With the initial proposal
- When new personnel are added
- With any change in an investigator’s financial interest
- Or at least annually
- With the initial and renewal proposals
- With supplemental funding proposal
- With non-competing continuation
- With no cost time extension
- When new personnel are added
- At least annually for duration of project
- With any new significant financial interest (New significant financial interests must be reported within 30 days of acquiring or discovering the new SFI.)

**Forms**

- State Disclosure (Form 700-U)
- UCR COI Addendum for Positive 700-U and NSF 925 (supplement), if necessary
- UCR NSF 925 Disclosure Form
- UCR COI Addendum for Positive 700-U and NSF 925 (supplement), if necessary
- PHS Form 500
- Supplemental forms (PHS 510, PHS 520), if necessary

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1 "Equity interest" includes stock, stock options, and other ownership interests.