

HRRB Checklist for Financial Conflict of Interest Human Subject Studies

Individuals who have independent roles in projects and who are responsible for the design, analysis, conduct, or reporting of the results of research performed (or to be performed) under a human subjects protocol must disclose whether or not they have a financial interest in or association with the sponsor or the company supplying materials, drugs, or devices for the project. This checklist pertains to the entire project team working under the protocol. Any individual who has a conflict must comply with University regulations and procedures for disclosure of financial conflict of interest.

See the [Conflict of Interest Committee web site](#) for more information.

Please answer the following questions:

Does any member of the project team (defined as UCR or non-UCR personnel working under the protocol) with substantive responsibility for the design, conduct, or reporting of activities under the protocol, or any member of their immediate family (defined as spouse, dependent child, or registered domestic partner) have any of the following relationships with the non-UC entity financing the research to be done under the protocol or the non-UC entity supplying materials, drugs or devices being tested under the protocol:

- 1. Yes No Positions of management (e.g., board member, scientific advisor, director, officer, partner, trustee, employee, consultant).
- 2. Yes No Equity interest (e.g., stock, stock options, investment, or other ownership).
- 3. Yes No Rights to a pending patent application or issued patent to any invention(s), or license rights or copyright for software that has a direct relationship to the project proposed.

I certify that the information provided is accurate and complete. I have discussed the requirement to disclose financial conflicts of interest with all members of the project team. The appropriate actions have been taken.

Principal Investigator Signature

Date

Principal Investigator Name

If Applicable:

Student Signature

Date

Student Name

Other Personnel Signature

Date

Other Personnel Name